# **STUDY PROTOCOL**



# A multicentre implementation trial of an Artificial Intelligence-driven biomarker to inform Shared decisions for androgen deprivation therapy in men undergoing prostate radiotherapy: the ASTuTE protocol



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# Abstract

**Background** Androgen deprivation therapy (ADT) improves outcomes in men undergoing definitive radiotherapy for prostate cancer but carries significant toxicities. Clinical parameters alone are insufficient to accurately identify patients who will derive the most benefit, highlighting the need for improved patient selection tools to minimize unnecessary exposure to ADT's side effects while ensuring optimal oncological outcomes. The ArteraAl Prostate Test, incorporating a multimodal artificial intelligence (MMAI)-driven digital histopathology-based biomarker, offers prognostic and predictive information to aid in this selection. However, its clinical utility in real-world settings has yet to be measured prospectively.

**Methods** This multicentre implementation trial aims to collect real-world data on the use of the previously validated Artera MMAI-driven prognostic and predictive biomarkers in men with intermediate-risk prostate cancer undergoing curative radiotherapy. The prognostic biomarker estimates the 10-year risk of metastasis, while the predictive biomarker determines the likely benefit from short-term ADT (ST-ADT). A total of 800 participants considering ST-ADT in conjunction with curative radiotherapy will be recruited from multiple Australian centers. Eligible patients with intermediate-risk prostate cancer, as defined by the National Comprehensive Cancer Network, will be asked to participate. The primary endpoint is the percentage of patients for whom testing led to a change in the shared ST-ADT recommendation, analyzed using descriptive statistics and McNemar's test comparing recommendations before and after biomarker testing. Secondary endpoints include the impact on quality of life and 5-year disease

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control, assessed through linkage with the Prostate Cancer Outcomes Registry. The sample size will be re-evaluated at an interim analysis after 200 patients.

**Discussion** ASTUTE will determine the impact of a novel prognostic and predictive biomarker on shared decisionmaking in the short term, and both quality of life and disease control in the medium term. If the biomarker demonstrates a significant impact on treatment decisions, it could lead to more personalized treatment strategies for men with intermediate-risk prostate cancer, potentially reducing overtreatment and improving quality of life. A potential limitation is the variability in clinical practice across different centers inherent in real-world studies.

Trial Registration Australian New Zealand Clinical Trials Registry, ACTRN12623000713695p. Registered 5 July 2023.

**Keywords** (MeSH): prostate cancer, Radiotherapy, Artificial Intelligence, Deep learning, Digital pathology, Biomarkers, Androgen deprivation therapy

# Background

Prostate cancer is the most commonly diagnosed male malignancy, with over 24,000 cases diagnosed in Australia in 2021 [1]. It is responsible for the highest incidence of cancer-related disability worldwide, with a large proportion of such morbidity because of the adverse outcomes associated with over-treatment or undertreatment [2]. Radiotherapy is commonly employed to cure localised disease, with androgen deprivation therapy (ADT) reserved for treatment intensification of higher stage disease [3]. ADT has toxicities, with the potential to reduce quality of life and cause adverse health outcomes [4]. The challenges for treatment intensification lie in accurate prognostication, with a multitude of tumour, patient, and treatment factors also impacting outcomes.

The National Comprehensive Cancer Network (NCCN) risk classification has helped improve prognostication for localised prostate cancer and remains a widely used tool to guide management [5]. This system is imperfect, with overlapping outcomes between risk groups, as well as a wide range of possible disease control rates within each risk classification, and several alternative systems such as CAPRA have been proposed [6, 7]. Issues of variability and subjectivity can enter into some of the parameters, such as histopathological grading, weakening the prognostic ability. The Gleason scale was developed over half a century ago and has shown ambiguity in reproducibility across expert uropathologists [8]. Decipher, a tissuebased genomic biomarker assessing 22 genes, has shown improved prognostication but lacks validation in prospective randomized trials [9]. There also remain challenges with consumptive pathology tests such as cost, laboratory requirements, processing time, and tumour representation. A deeper issue is that even if a patient with a worse prognosis is identified, whether treatment intensification is likely to benefit that specific individual is unknown. It is therefore important to develop predictive biomarkers to help determine if a specific intervention, such as ADT, will lead to additional efficacy for such an individual.

Several randomized controlled trials (RCTs) have investigated the use of short-term ADT (ST-ADT) in intermediate-risk prostate cancer, including RTOG-9408, EORTC 22,991, DFCI 95-096, and RTOG 0815 [3, 10-13]. While some of these trials have demonstrated improvements in biochemical control and, in some cases, reductions in distant metastases and cancer-specific mortality with the addition of ST-ADT, they often suffer from limitations such as the use of older radiotherapy techniques, heterogeneous patient populations, and an inability to precisely identify the subpopulation most likely to benefit from ADT. The D'Amico RCT highlighted comorbidity as a potential discriminator, suggesting that patients with moderate to severe comorbidities may not benefit from ST-ADT and may experience increased cardiac mortality [11, 12]. These findings underscore the need for better tools to guide personalized treatment decisions regarding ST-ADT in intermediate-risk prostate cancer.

The ArteraAI Prostate Test uses a multimodal AI (MMAI) architecture that encompasses both clinical and digital histopathology data. Multimodal deep learning uses combinations of various data modalities together, compared to a singular modal learning which would analyse each of these independently. The clinical features of this model consist of: age, PSA, Gleason combined, Gleason primary, Gleason secondary, and T-stage. The second pipeline consists of digital histopathology, which was trained using a self-supervised learning model. This analyzes multiple image features using a neural network. Both the clinical and histopathology vectors are analysed together using a separate neural network to create a MMAI score, Fig. 1.

The ArteraAI Prostate Test is a unique clinicopathological biomarker test which utilises the MMAI architecture described to run two models: a prognostic model and a predictive model. Firstly, the prognostic model provides estimates of distant metastasis (DM) and prostate cancer-specific mortality (PCSM) risk. The prognostic deep learning model was trained and validated on 5 phase III randomised control trials (NRG/RTOG 9202, 9408,

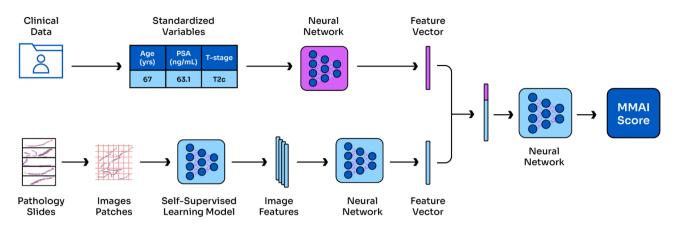


Fig. 1 Development of a Multimodal Artificial Intelligence Tool in Prostate Cancer

9413, 9910, and 0126), with a total of 5,654 patients and a dataset of 16,204 histopathology slides. This model was shown to significantly outperform the NCCN classification with a 5-year distant metastasis AUC of 0.83 compared to 0.72 for NCCN, p < 0.001 [14]. The predictive model assesses the benefit of short-term, 4–6 months of ADT (ST-ADT) in intermediate-risk (IR) prostate cancer patients and has recently been validated. In the predictive model positive patients, ST-ADT significantly reduced the risk of distant metastasis compared to radiotherapy alone (sHR=0.34, 95% CI 0.19–0.63, p < 0.001). There were no significant differences with the addition of ADT in the predictive model negative subgroup (sHR=0.92, 95% CI 0.59–1.43, p = 0.71) [15].

The test can inform the shared ST-ADT discussion between clinicians and patients on the benefit ADT may have in men being managed with definitive RT for IR prostate cancer. The real-world data on the impact of this test in clinical practice is currently lacking, which is the main question we are exploring via ASTuTE.

# Design

The ASTuTE trial (Artificial intelligence Steering Testosterone deprivation Treatments in prostate cancer External-beam radiotherapy) is an open-label, multicentre, prospective registry and trial of implementation that aims to collect real world data on the use of a MMAIdriven biomarker digital histopathology test developed by Artera<sup>®</sup> for use in IR prostate cancer men undergoing curative radiotherapy.

The trial was designed by the authors, using the SPIRIT-AI extension recommendations [16], and was devised to assess the impact of the ArteraAI Prostate Test on shared ST-ADT decisions. The trial was first registered with the Australian Clinical Trials Registry (ACTRN12623000713695) on 5 July 2023. Central ethical approval was obtained from St Vincent's Human Research Ethics Committee (HREC 2023/ETH01630) in 2023, with the first patient enrolled December

2023. Local ethical and governance approval has been obtained from all participating sites. The study is being conducted in accordance with the Declaration of Helsinki, the National Health and Medical Research Council (NHMRC) National Statement on Ethical Conduct in Human Research 2007 and the NHMRC Australian Code for Responsible Conduct of Research. All participants are providing written informed consent.

The primary goal of this study is to create a de-identified database of patients, test results, and treatment decisions that can be queried to determine the clinical utility of the MMAI digital histopathology test known as ArteraAI Prostate Test, in the utilisation of ST-ADT for men with IR prostate cancer. Eligible participants will undergo data collection as per Fig. 2. For patients that will receive radiotherapy, all curative intent radiotherapy dose/fractionation schedules are allowed including conventional [17], moderately hypofractionated [18] and ultra-hypofractioned [19] as well as dominant intraprostatic lesion (DIL) boosting [20]; this will enable data generalizability across modern techniques.

## Endpoints

The primary objective is to assess the impact of the ArteraAI Prostate Test on shared ST-ADT decisions with IR prostate cancer men undergoing curative radiotherapy. To evaluate this, the endpoints of shared ST-ADT decisions pre-test and post-test will be recorded. The primary endpoint is the percentage of cases with changes in ST-ADT shared decision recommendations.

The secondary objectives will be assessed through linkage with the Prostate Cancer Outcomes Registry (PCOR) and will assess efficacy and quality of life. The secondary endpoint of efficacy will be assessed at 5 years using the Phoenix criteria of PSA nadir + 2ng/mL [21], and/or initiation of salvage treatment and/or imaging confirming recurrent disease. The secondary endpoint of quality of life will be assessed using the Expanded Prostate Cancer Index Composite questionnaire (EPIC-26).

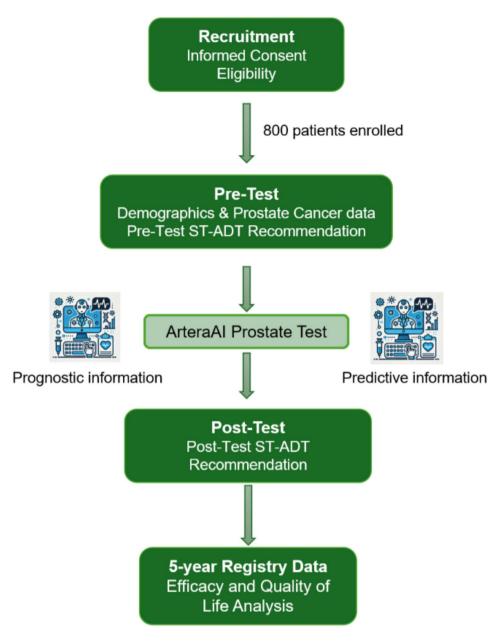


Fig. 2 ASTuTE study schema

The primary hypothesis is that the ArteraAI Prostate Test will result in changes to ADT management of IR prostate cancer patients. The secondary hypotheses are that this will be accompanied by ongoing high rates of disease control and improved quality of life for those spared ADT.

# **Eligibility criteria**

The target population for the ASTuTE trial is adults with prostate adenocarcinoma and IR per the NCCN risk classification [22]. Potential participants will be screened for eligibility according to the inclusion and exclusion criteria outlined in Table 1.

# Methods

After providing informed consent, clinical variables including combined Gleason score, Primary Gleason Score, Secondary Gleason Score, clinical T-stage, baseline PSA, and age at biopsy are recorded. Next, a single formalin fixed, paraffin embedded (FFPE) hematoxylin and eosin-stained slide containing one biopsy core with the tumor that has the highest Gleason grade used by the local pathologist in making their diagnosis for the patient will be digitised.

When scanning is completed, a certified pathologist approved by Artera<sup>®</sup> will review the digitally converted image to assess suitability for the MMAI biomarker test.

#### Table 1 Eligibility criteria

Inclusion criteria	Exclusion criteria
1. Adult males > 18 years of age	1. Participants who have already com- menced ADT or inability to receive ADT.
<ul> <li>2. Participants must have intermediate risk, localised adenocarcinoma of the prostate according to NCCN risk</li> <li>Favourable intermediate risk (FIR): <ul> <li>1 intermediate risk factor (IRF)</li> </ul> </li> </ul>	<ol> <li>Participants with insufficient tissue and/or histopathology issues which may arise pertaining to the generation of an accurate ArteraAl Prostate Test result.</li> </ol>
<ul> <li>Grade Group 1 or 2 (Gleason Score ≤ 6 or Gleason Score 7 {3+4})</li> <li>&lt; 50% biopsy cores positive (e.g., &lt; 6 of 12 cores)</li> <li>Unfavourable intermediate risk (UIR)</li> </ul>	<ol> <li>Participants with histological or cytological evidence of neuroendocrine or small cell differentiation.</li> </ol>
<ul> <li>2 or 3 IRFs</li> <li>Grade Group 3 (Gleason Score 7)</li> <li>≥ 50% biopsy cores positive (e.g., ≥ 6 of 12 cores)</li> </ul>	4. Prostate adenocarcinoma that cannot be International Society of Urological Pathologists (ISUP) graded.
IRFs: • Clinical stage cT2b-cT2c • Grade Group 2 or 3 (Gleason Score 3 + 4 = 7 or 4 + 3 = 7) • PSA 10-20ng/mL	5. High risk clinical features (PSA > 20, Grade Group 4–5, Stage T3-4). Node positive or presence of distant metasta- ses (cN1 or cM1).
3. Estimated life expectancy > 10 years	

- 4. Participants must be planned to undergo curative-intent radiotherapy for prostate cancer
- 5. Willing and able to provide written informed consent

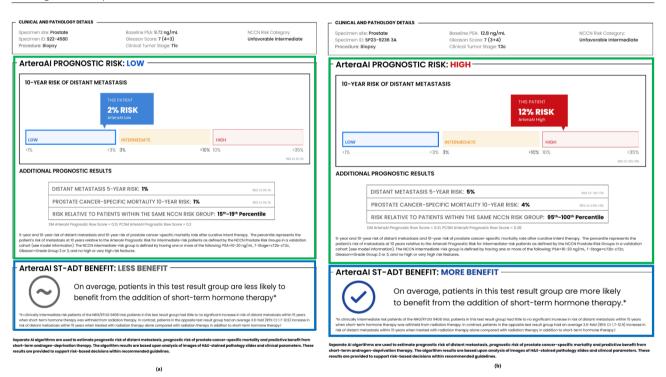


Fig. 3 a and b. ArteraAl Prostate Test report examples. Green box highlighting the prognostic model score and Blue box highlighting the predictive model result for ADT benefit

The test will be run using locked AI models (v1.2) for the duration of the study. The locked models makes sure all participants will be assessed using the same models [23]. After completion of the test, the ArteraAI Prostate Test report will be verified by the certified pathologist and then made available to clinical staff via a web portal.

Figure 3 shows an example of the test report. Figure 3a displays an example of an NCCN unfavourable IR prostate cancer who is estimated to have a low prognostic risk

for distant metastasis and low predictive benefit for the addition of ADT with radiotherapy using the ArteraAI Prostate Test. Figure 3b displays the other side of the spectrum with the ArteraAI Prostate Test estimating a high prognostic risk for distant metastasis and high predictive benefit for the addition of ADT with radiotherapy. Before the test result is available, the pre-test shared ADT decision result is captured. After the ArteraAI Prostate Test results are discussed between the clinician and

Table 2	Schedule of assessments (according to SPIRIT-AI
extensio	)

	Enrolment / Pre-test <sup>1</sup>	Post-test <sup>2</sup>	5-year follow- up
1. Demographics	Х		
2. Prostate cancer history	Х		
3. Shared ADT decision recom- mendation as determined by primary clinician and participant	Х	Х	
4. EPIC-26 Quality of Life questionnaire	X <sup>3</sup>		X <sup>3</sup>
5. Disease Control			X <sup>3</sup>

<sup>1</sup>Enrolment / pre-test can happen over a period of 14 days

<sup>2</sup>Post-test data collection may occur at initial consult if ArteraAl Prostate Test results are available

<sup>3</sup>Data linkage through Prostate Cancer Outcomes Registry at median 5-year follow-up.

patient, the post-test shared ADT decision result will be recorded. At a median follow-up of 5 years for the cohort, a data linkage with PCOR will be established to allow for assessment of quality of life and efficacy data (Table 2).

#### Statistical considerations

Given the novel nature of ASTuTE, it is not possible to estimate the event rate for the primary endpoint proportion change in shared decision making regarding use of ADT. If an incorrect estimate is used, there is a risk of underpowering the study. Therefore, an interim analysis will be performed at 200 participants. This will allow for early assessment into the rates of management change and help determine the final number of participants needed to adequately power the final analysis of the study. At this time, 800 participants are planned for enrolment, with final numbers to be determined at the time of the interim analysis.

The McNemar's test will be used to analyse the primary endpoint. There is a rare chance that the number of pre- to post-test recommendations of Yes-No and No-Yes is equal. This could lead to the null hypothesis being retained when the ArteraAI Prostate test is actually outperforming standard of care. As such, the study is designed to be hypothesis-generating, rather than focusing on a specific hypothesis that would define the sample size. This trial of implementation which assesses rates of management change based on a biomarker test has been used in recent literature. The DCISionRT<sup>®</sup> study, used this approach to assess a breast genomic biomarker impact on radiation therapy recommendations [24].

# Data analysis plan

Simple statistical analysis will be performed by calculating "rates of change" with appropriate confidence intervals for changes in pre- and post-testing treatment

Post-testYes ST-<br/>ADT useNo ST-<br/>ADT useNo ST-<br/>ADT useabNo ST-<br/>ADT usecd

**Fig. 4** 2×2 contingency table illustrating McNemar's test for paired data to assess rates of change for the biomarker test, ArteraAl Prostate Test, on shared ADT decision making. Primary endpoint of proportion changing shared decision on use of ADT is calculated as (b+c) / (a+b+c+d)

recommendations. Summary statistics will be used to present the treatment recommendation pre- and postincorporation of test results and secondary analyses.

For instance, in order to assess the impact of ArteraAI Prostate Test results on recommendations for shared ST-ADT use, the percentage change in recommendations will be calculated, and McNemar's test for paired data will be used to assess the change in shared ST-ADT recommendations pre-test versus post-test, Fig. 4.

Multivariate logistic regression analyses will also be used to assess the odds ratios (OR) of factors leading to the pre-test and the post-test ADT recommendations. Pre-test exploratory covariates can include age, ISUP grade, initial PSA, tumour stage and percentage of cores positive. Post-test covariates will also include the ArteraAI Prostate test results.

The study opened to enrolment in December 2023, and is expected to complete accrual in 2025.

#### Discussion

The question about the benefit of ST-ADT amongst IR prostate cancer patients undergoing radiotherapy is challenging due to the known toxicity profile of ADT, large range of potential disease outcomes for IR prostate cancer and unknown impact at an individual level of ST-ADT. IR prostate cancer is a large spectrum for staging in prostate cancers with varied outcomes amongst them [25]. MDACC and MSKCC retrospective data [26, 27] supports benefit for ST-ADT in only the unfavourable IR (UIR) men. However, some cases can be turned into UIR merely by changing the biopsy targeting method. At a population level, the most mature data from randomised control trials looking at the benefit for ST-ADT in IR men are summarised in Table 3. The limitation from these trials are the use of older radiotherapy techniques, heterogeneous patient populations, and the inability to

Irial Name	Number of patients, <i>n</i>	Intervention	Endpoints	Comments
RTOG-9408 [3]	1086 IR men	66.6 Gy / 37 fractions	18 years	15 years
		` +1	bcF	PCSM: FIR vs.
		4 months of LHRH adonist with Flutamide	57% woST-ADT	UIR
			43% wST-ADT	14% vs 28%
			DSM	WOST-ADT
			16% woST-ADT	9% vs. 12%
			00% WST-ADT	W/ST-ADT
				0.457 Z470
				8% VS. 10% CT ADT
				IUA-ICW
EORTC 22,991 [10]	481 IR men	74 Gy / 37 fractions (71.1%)	12.2 years	
		or	OS	
		78 Gy / 39 fractions (28.9%)	74% woST-ADT	
		+1	80% wST-ADT	
		6 months of LHRH agonist with bicalutamide	DM	
		for 7 days	27% woST-ADT 21% wsE-ADT	
	1 400 IL COL 1	70.3 (S.1.7.4.4 fractions (8.00%)	0.10-11 0.10-11	c Dained 200
		19.2 DY / 44 II ALUDIIS (0970)	o years	- U 70 IIaviiy a Cinalo ID factar
		U/ AF C. / DE fonctions with broch above boost	03 2004	איזאלים איז
		45 U/ 25 IIaculoris Witri Diacriytrierapy DOUSL	79% WOST-AUT	- Z/ %0 glade
		(06) (1)	84% wsi-AUI	group 3 disease
		+1	DM	- excluded
		6 months of LHRH agonist with antiandrogen	4.3% woST-ADT	patients with
		for 10 days	1.0% wST-ADT	more than two
				IR factors and
				≥50% positive
				cores.
DFCI 95-096	153 IR men	70 Gy / 35 fractions	7 years	- Use of Adult
[11, 12]		+1	OS with no or mild comorbidity	Comorbidity
		6 months of LHRH agonist with Flutamide	86% woST-ADT	Evaluation 27
		)	91% wST-ADT	comorbidity
			OS with moderate or severe comorbidity	scores (ACE-27)
			22 With Model at Severe completion with	
			60.5% wST-ADT	
PCSII	400 IB men	76 Gv / 38 fractions	10 vears	-78% UIR
[28]		+6 months of LHRH adonist with bicalutamide	PCSM	-contained
5			65% WOST-ADT	another
			1 5% w.CT_ADT	randomication
				arm of 70 Gv
			1004	
			10% W031-AU1 2.5%% …6T A DT	
			174-15M 0%%C.C	W21-AU1, 200 IN
				men.

identify and exact subpopulations that are the ones to benefit from the addition of ST-ADT.

Around 70% of men recover their testosterone from 6 months of ADT at 1.5 years from initial injection [29]. This is a lengthy time for reduced QoL and toxicity effects with some patients taking longer, or never recovering [30–33]. D'Amico et al. helped highlight the potential deleterious effects of ST-ADT in patients with moderate or severe comorbidity [11]. Although some of the effects on bone health and lean muscle mass can be proactively managed through serial DEXA imaging and exercise medicine, ideally only men most likely to derive meaningful benefit from ST-ADT would be exposed to these toxicities in the first place.

To better inform the clinician / patient discussion on ST-ADT better tools are required at the patient level to help personalise the anticipated benefit from ST-ADT. Implementation trials are becoming more necessary as technology evolves. DCISionRT° was one of the first of these trials with the analysis of real world results on decision changes using a genomic biomarker. This trial was unique as it strongly showed how a validated biomarker can change clinical management decisions regarding the recommendation for adjuvant radiotherapy following breast conserving surgery in ductal carcinoma in situ (DCIS). Of the 539 women included 42% had changes to the adjuvant radiotherapy recommendation after this genomic biomarker test (46% yes to no, and 35% no to yes) [24]. Another implementation trial, GARUDA, characterised patients into low or high risk of developing late moderate to severe genitourinary toxicities following stereotactic body radiation therapy (SBRT) to the prostate by using a genomic biomarker test (PROSTOX). Of the 208 men included in this trial, 85% were classified as low risk and 15% as high risk. The vast majority of low risk patients, 98.8% chose SBRT, however, in the high risk cohort only 55.2% chose SBRT with the remainder choosing a moderately hypofractionated radiotherapy course (*p* < 0.001) [34].

A strategic objective in the ASTuTE trial was for the development of a robust platform where new technologies can be rolled out safely while assessing the degree of impact in a well-regulated environment. This opens the doors for a rapid adoption of machine learning, particularly in the context of digital histopathology. The ArteraAI prostate test has had the predictive model for ST-ADT validated [15] and the prognostic model validated [23]. It has outperformed the traditional NCCN classification, and is recommended as a tool in risk stratification with level 1B evidence. The ASTuTE trial will prospectively determine the utility of the ArteraAI Prostate Test in IR prostate cancer patients by measuring the rate of change in shared ST-ADT decisions and secondly will give more robust prospective data on efficacy whilst

employing modern radiotherapy techniques. This is the first large scale deep learning technology to be employed to help guide prostate radiotherapy management and the success of this trial will enable other similar initiatives to follow suit

#### Supplementary Information

The online version contains supplementary material available at https://doi.or g/10.1186/s12885-025-13622-1.

Supplementary Material 1

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#### Author contributions

EW, JMar, KB, and TS conceived the study. EW, JMar, and KB led the drafting of the protocol and manuscript. All authors contributed to the study design and protocol development. All authors provided important feedback and made substantive revisions to the manuscript. All authors read and approved the final manuscript.

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#### Data availability

No datasets were generated or analysed during the current study.

# Declarations

#### Ethics approval and consent to participate

Central ethical approval was obtained from St Vincent's Human Research Ethics Committee (HREC 2023/ETH01630) in 2023. Local ethical and governance approval has been obtained from all participating sites. The study is being conducted in accordance with the Declaration of Helsinki, the National Health and Medical Research Council (NHMRC) National Statement on Ethical Conduct in Human Research 2007, and the NHMRC Australian Code for Responsible Conduct of Research. All participants are providing written informed consent.

#### **Consent for publication**

Not applicable.

#### **Competing interests**

Prof Tim Showalter is Chief Medical Officer of Artera®. The authors; EW, MN, MG, JdL, SR, MD, JMac, TL, BW, SS, MC, KH, and JMar are employed by GenesisCare, which has a commercial relationship with ArteraAI.

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